05-31-02 Attorney's Docket No.

09/841,320

2431.0771

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

DEMINITE Application of:

Ranjit N. Notani, et al.

Serial No.:

09/841,320

Date Filed:

April 23, 2001

Title:

Extreme Capacity Management in an Electronic Marketplace

Environment

Honorable Assistant Commissioner

for Patents

Washington, DC 20231

MAY 0 4 2002 4/ GROUP 3600

Dear Sir:

INFORMATION DISCLOSURE STATEMENT

Applicants respectfully request, pursuant to 37 C.F.R. §§ 1.56, 1.97 and 1.98, that these references listed on the attached PTO-1449 form be considered and cited in the examination of the above-identified patent application. Copies of these references are enclosed for the convenience of the Examiner. No representation is made that a search has been made, that these references are material to the patentability of the present application, or that these references qualify as prior art.

This Information Disclosure Statement is being submitted pursuant to 37 C.F.R. § 1.97(b).

Each item of information contained in this Information Disclosure Statement was cited in a communication from a foreign patent office in a counterpart foreign application not more than thirty days prior to the filing of this Information Disclosure Statement.

MAY 2 9 2002 S Althou

ttorney's Docket No.

Although Applicants believe no fee is due, the Commissioner is hereby authorized to charge any fee to Deposit Account No. 02-0384 of Baker Botts L.L.P.

Respectfully submitted,

BAKER BOTTS L.L.P.

Christopher W. Kennerly

Cura-

Reg. No. 40,675

Attorneys for Applicants

CWK/bt

Correspondence Address: Baker Botts L.L.P. 2001 Ross Avenue, 6th Floor Dallas, Texas 75201-2980

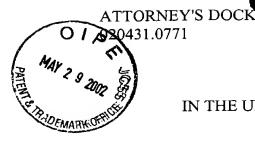
(214) 953-6812

Date: 5/28/02

RECEIVED

MAY 0.4 2002

GROUP 3600



1

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Application of:

Ranjit N. Notani, et al.

Serial No.:

09/841,320

Filing Date:

April 23, 2001

For:

Extreme Capacity Management in an Electronic Marketplace

Environment

Assistant Commissioner for Patents Washington, DC 20231

Dear Sir:

CERTIFICATE OF MAILING BY EXPRESS MAIL

I hereby certify that the attached Information Disclosure Statement with two (2) references are being deposited with the United States Postal Service "Express Mail Post Office to Addressee" service under 37 C.F.R. § 1.10 on this 29th day of May, 2002, and are addressed to the Assistant Commissioner for Patents, Washington, DC 20231.

Willie Iiles

Express Mail Receipt No. EL953697075US Attorney's Docket No.: 020431.0771

PTO-1449				Application No.		Applicant(s)			
				09/841,320		Ranjit N. No			
Information Disclosure Citation			Docket Number	Group Art Uni	Filing Date				
₽	MAY 2	An Application		020431.0771	•		04/23/01		
3		~100 gg	U	.S. PATENT DOCUM	ENTS				
10	P. OFM	WHAT OF FLOW							
		DOCUMENT NO.	DATE	NAME	CLASS	SUBCLASS	S FILING DATE		
	A	6,055,519	04/25/00	Kennedy et al.	705	80	10/	10/11/97	
	В		-	·				,	
	С								
	D								
	Е					-			
	F						-		
	G					RECEIVED			
	Н					MAY 0 4 2002			
	I			CDI			VI IP 3600		
-	J					GROUP 3600			
	K								
	L								
	•		FOR	EIGN PATENT DOC	UMENTS				
	T	DOCUMENT NO	DATE	COUNTRY	CLASS	SUBCLASS	TRANS	TRANSLATION	
		DOCUMENT NO.	DAIL	COUNTRI			YES	NO	
	M								
	N								
	0								
	P								
	Q								
	DOCUMENT (Including Author, Title, Source, and Pertinent Pages)						D/	ATE	
	R							14 May 2002	
	<u> </u>	1 / 1 0							
	S								
	T				 	· · · · · · · · · · · · · · · · · · ·			
			···-						
EXAMINER DATE CONSIDERED									
						 			
EX	AMINE sidered	ER: Initial if citation considere Include copy of this form wi	d, whether or not cita th next communication	ation is in conformance with MP on to the applicant.	EP § 609. Draw line	through citation if	not in conforma	ance and not	
***	PATE	ENT AND TRADEMARK O	FFICE	A					